

FORM PTO-1390  
REV. 5-93US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEYS DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

P05,0159

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

**10/533684**INTERNATIONAL APPLICATION NO.  
**PCT/DE2004/000985**INTERNATIONAL FILING DATE  
**12 May 2004**PRIORITY DATE CLAIMED  
**15 May 2003**

TITLE OF INVENTION:

**"COUPLING ELEMENT AND DOCKING DEVICE COMPRISING A COUPLING ELEMENT"**

APPLICANT(S) FOR DO/EO/US

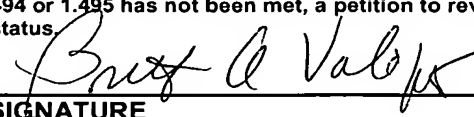
**Peter LAIS, Joseph SYWALLA, Joachim STOYE, and Martin KOCH**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **Unexecuted**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; **(PTO 1449, Prior Art, Search Report)**.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ A substitute specification. **Marked up version of Substitute Specification**
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - a. ☐ **EXPRESS MAIL #EV 635786297 US Mailed May 2, 2005.**
  - b. ☐
  - c. ☐

U. S. APPLICATION NO. (Known, see 37 C.F.R. 1.5) <b>10/533684</b>		INTERNATIONAL APPLICATION NO. PCT/DE2004/000985		ATTORNEY'S DOCKET NUMBER P05,0159	
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b> (Search Report has been prepared by the EPO or JPO) ..... \$1000.00 (Includes National Stage, Search, and Examination Fees)  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>				CALCULATIONS	PTO USE ONLY
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					
Claims	Number Filed	Number Extra	Rate		
Total Claims	44-20 =	24	X \$50.00	\$1200.00	
Independent Claims	3-3 =	0	X \$200.00	\$00	
Multiple Dependent Claims			\$300.00 +		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$2200.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)					
<b>SUBTOTAL =</b>				\$2200.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$00	
<b>TOTAL NATIONAL FEE =</b>				\$2200.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				+	
<b>TOTAL FEES ENCLOSED =</b>				\$2200.00	
				Amount to be refunded	
				charged	
a. <input checked="" type="checkbox"/> A check in the amount of <b>\$2200.00</b> to cover the above fees is enclosed.  b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>501519</b> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:			 SIGNATURE		
Schiff Hardin LLP Patent Department 6600 Sears Tower Chicago, Illinois 60606-6473 Customer Number 26574			Brett A. Valiquet NAME 27,841 Registration Number		

BOX PCT

10/533684

IN THE UNITED STATES DESIGNATED OFFICE  
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

5 **REQUEST FOR REFUND OF PATENT FEES PURSUANT TO**  
**37 C.F.R. §§ 1.27 AND 1.28**

APPLICANT: Peter LAIS et al  
ATTORNEY DOCKET NO. P05,0159  
INTERNATIONAL APPLICATION NO: PCT/DE2004/000985  
10 INTERNATIONAL FILING DATE: May 12, 2004  
INVENTION: "COUPLING ELEMENT AND DOCKING DEVICE  
COMPRISING A COUPLING ELEMENT"

FEE VALUE ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
50	159
FEE CODE	VALUE FURNISHED
2631	150
2642	200
2633	100
2615	600

**MAIL STOP PCT**

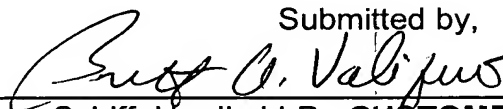
15 Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Repln. Ref: 10/20/2005 WALVARAD 0021551100  
DAH:501519 Name/Number:10533684  
FC: 9204 \$1150.00 CR

Sir:

Pursuant to 37 C.F.R. §§1.27 and 1.28, Applicants herewith request a  
20 refund of the fees paid in connection with the entrance into the national stage  
for the above-identified PCT application by U.S. Post Office Express Mail  
dated May 2, 2005. At the time of filing for national stage entry, large entity  
fees were paid in the amount of \$2,200.00. However, Applicants have now  
informed their attorney that the Applicant is a small entity. Since this request  
25 for refund is less than three months from payment of the original fees on May  
2, 2005, this refund request is in compliance with the rules and a refund of  
one-half of the \$2,200.00 in an amount of \$1,100.00 is requested.

Submitted by,



(Reg. 27,841)

30 Schiff, Hardin LLP - **CUSTOMER NO. 26574**

Patent Department

6600 Sears Tower

233 South Wacker Drive

Chicago, Illinois 60606 - Telephone: 312/258-5786

35 Attorneys for Applicant.